

100 North 15th Avenue, Suite 201 Phoenix, AZ, 85007 KATIE HOBBS GOVERNOR

0 SUSAN NICOLSON COMMISSIONER

# 602.771.7700

### APPLICATION FOR TEMPORARY BROKER'S LICENSE

Use this form to apply for the issuance of a temporary Broker's license for the purpose of winding up the existing or pending business for a Designated Broker that is deceased or has become incapacitated.

This completed form must be accompanied by:

- 1) Disciplinary Actions Disclosure Form.
- 2) Proof of Legal Presence applicants must prove their presence in the United States is authorized under federal law. A list of acceptable documents can be found under the FAQs at <u>www.azre.gov</u>.
- 3) A letter advising the Department of the Broker's illness, disability, or death.
- 4) If the broker is deceased, a copy of the death certificate or newspaper obituary.
- 5) A letter from the surviving spouse, attorney representing the broker/family, personal representative, or other responsible party appointing an individual to serve as a temporary broker.
- 6) Fee Waiver Request Form, if applicable.

Note: Any currently Active Salesperson or Broker applying to become a Temporary Broker must first sever from their current entity either through the Licensee login or by using the Salesperson/Associate Broker Change Form as applicable.

Once complete, this application and additional required documents should be submitted through our <u>Message Center</u> or <u>Licensee login</u>.

DESIGNATED BROKER / ENTITY INFORMATION						
Current DB Name:	Current DB License Number:					
Entity Name:	Entity License Number:					
Reason for Application:		Death	Illness			

#### APPLICANT INFORMATION

Legal Name:		License Number, if applicable:				
Physical Address:		-				
City	State			ZIP:		
Check here if the Mailing Address is the same as the Physical Address						
Mailing Address:						
City:	State:	_		ZIP:		
Email:		Phone:				
Date of Birth:		Social Security Number:				
Select all that apply:			🗌 I am a ve	eteran	I am a military spouse	
I understand that it is my obligation to comply with the statutes, rules, and regulations set forth by Arizona Law, and that such laws may be referenced at <u>azleg.gov</u> .						
Applicant Signature:		Date:				

Application for Temporary Broker's License - Rev. 06/2025 www.azre.gov

Questions regarding this communication can be sent to Mandy Neat, ADRE Deputy Commissioner, at 602-771-7724 or online through the ADRE Message Center

## Notice to Applicant Pursuant to A.R.S. § 41-1030

An agency shall not base a licensing decision in whole or in part on a licensing requirement or condition that is not specifically authorized by statute, rule or state tribal gaming compact. A general grant of authority in statute does not constitute a basis for imposing a licensing requirement or condition unless a rule is made pursuant to that general grant of authority that specifically authorizes the requirement or condition.

This section may be enforced in a private civil action and relief may be awarded against the State. The court may award reasonable attorney fees, damages and all fees associated with the license application to a party that prevails in an action against the state for a violation of this section.

A State employee may not intentionally or knowingly violate this section. A violation of this section is cause for disciplinary action or dismissal pursuant to the Agency's adopted personnel policy.

This section does not abrogate the immunity provided by section 12-820.01 or 12-820.02

## Notice to Applicant Pursuant to <u>A.R.S. § 41-1093</u>

An agency shall limit all occupational regulations to regulations that are demonstrated to be necessary to specifically fulfill a public health, safety or welfare concern. Pursuant to sections 41-1093.02 and 41-1093.03. Arizona Revised statutes, you have the right to petition this agency to repeal or modify the occupational regulation or bring an action in a court of general jurisdiction to challenge the occupational regulation and to ensure compliance with section 41-1093.01, Arizona Revised Statutes.

Notice to Applicant Pursuant to <u>A.R.S. § 41-1093.08</u>

Pursuant to Arizona Revised Statutes, Title 41, Chapter 6, Article 11, an applicant, licensee, registrant or certificate holder may petition the Office of Administrative Hearings to request a review of a denial, suspension or revocation of a license, registration or certificate for a prior criminal offense.