

PRACTICE MONITOR APPROVAL REQUEST

Use this form to indicate to the Department of Real Estate your willingness and ability to serve as a Compliance Practice Monitor. Please note that the Respondent, Practice Monitor, and Designated Broker of Practice Monitor must maintain this record for a minimum of 5 years.

Once complete, this application and additional required documents should be submitted through our [Message Center](#) or [Licensee Login](#).

COMPLIANCE PRACTICE MONITOR ACCEPTANCE

Respondent:	License Number:
Consent Order Number:	Consent Order Dated:
Entity Name:	Entity License Number:
Are you the Designated Broker or an Associate Broker for the above named Entity?	☐ Designated Broker ☐ Associate Broker

PRACTICE MONITOR COMPLIANCE AFFIDAVIT ATTESTATION

I understand that it is my obligation to comply with the statutes, rules, and regulations set forth by Arizona Law, and that such laws may be referenced at azleg.gov and azsos.gov.

I also attest that:

- I agree to be the practice monitor for the above named respondent
- I have read, understand and agree to the terms, conditions and duties of the Practice Monitor in the Consent Order
- I am not a relative, business partner, or co-owner in any business enterprise with the Respondent. There is no relationship with the Respondent that may create, or create the appearance of, a conflict of interest or bias
- I understand that during the term of the Consent Order, the Respondent may not be a supervisor, branch manager, partner, owner, co-owner, member or officer of any entity licensed under Title 32, Chapter 20, Arizona Revised Statutes
- My status as Practice Monitor is subject to review and approval by the Department and approval may be withdrawn at any time upon written notice from the Department
- I will immediately notify the Department in writing if I become aware of any behavior or conduct by the Respondent that violates real estate statutes or the terms of the Consent Order
- I will immediately notify the Department if the Respondent leaves my employ or if I am no longer able to act as the Respondent's practice monitor

Practice Monitor Name:	License Number:
Signature:	Date:

DESIGNATED BROKER SIGNATURE (if Practice Monitor is not DB)

I understand that it is my obligation to comply with the statutes, rules, and regulations set forth by Arizona Law, and that such laws may be referenced at azleg.gov.

Broker Name:	License Number:
Broker Signature:	Date:

Notice to Applicant Pursuant to A.R.S. § 41-1030

An agency shall not base a licensing decision in whole or in part on a licensing requirement or condition that is not specifically authorized by statute, rule or state tribal gaming compact. A general grant of authority in statute does not constitute a basis for imposing a licensing requirement or condition unless a rule is made pursuant to that general grant of authority that specifically authorizes the requirement or condition.

This section may be enforced in a private civil action and relief may be awarded against the State. The court may award reasonable attorney fees, damages and all fees associated with the license application to a party that prevails in an action against the state for a violation of this section.

A State employee may not intentionally or knowingly violate this section. A violation of this section is cause for disciplinary action or dismissal pursuant to the Agency's adopted personnel policy.

This section does not abrogate the immunity provided by section 12-820.01 or 12-820.02

Notice to Applicant Pursuant to A.R.S. § 41-1093

An agency shall limit all occupational regulations to regulations that are demonstrated to be necessary to specifically fulfill a public health, safety or welfare concern. Pursuant to sections 41-1093.02 and 41-1093.03. Arizona Revised statutes, you have the right to petition this agency to repeal or modify the occupational regulation or bring an action in a court of general jurisdiction to challenge the occupational regulation and to ensure compliance with section 41-1093.01, Arizona Revised Statutes.

Notice to Applicant Pursuant to A.R.S. § 41-1093.08

Pursuant to Arizona Revised Statutes, Title 41, Chapter 6, Article 11, an applicant, licensee, registrant or certificate holder may petition the Office of Administrative Hearings to request a review of a denial, suspension or revocation of a license, registration or certificate for a prior criminal offense.