



Arizona Department of Real Estate (ADRE)

Enforcement & Compliance Division

www.azre.gov

100 North 15th Avenue, Suite 201, Phoenix Arizona 85007

KATIE HOBBS GOVERNOR

SUSAN NICOLSON COMMISSIONER

Bank Account Identification (COM-501)

Date: \_\_\_\_\_

E & C File (Order) #: \_\_\_\_\_

Arizona Revised Statutes, § 32-2108 (A) and § 32-2175 (H), authorize the Department of Real Estate to examine the transaction records of every licensee which pertain to the transfer, sale, lease or management of real property, cemetery property, time shares or membership camping property.

Designated Broker: \_\_\_\_\_
Broker License Number: \_\_\_\_\_
Entity Name: \_\_\_\_\_
DBA or Company Name: \_\_\_\_\_
Company License Number: \_\_\_\_\_

Telephone: \_\_\_\_\_

Name of primary Property Management accountant/bookkeeper \_\_\_\_\_

INFORMATION SUPPLIED ON THIS FORM IS DEEMED CONFIDENTIAL AND IS NOT MADE AVAILABLE FOR PUBLIC INSPECTION.

Please Identify All Bank Accounts

ALL Property Management Trust Accounts:

Table with 4 columns: Short Name for Account, Account # -last 4 digits, Financial Institution, Purpose of Account. Contains 6 empty rows.

1 State what monies are held in and disbursed from the account i.e. -only rents collected and client disbursements,- all deposits held, - all rents collected & deposits held, - security deposits only, -cleaning/other deposits only; -TPT or other taxes, - all rents and deposits for only a single client or property (identify client or property).



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**Sales / Escrow Trust Accounts (non-property management):**

<u>Short Name for Account</u>	<u>Account # -last 4 digits</u>	<u>Financial Institution</u>	<u>Purpose of Account<sup>2</sup></u>

**Operating Accounts:**

<u>Short Name for Account</u>	<u>Account # -last 4 digits</u>	<u>Financial Institution</u>	<u>Purpose of Account<sup>3</sup></u>

**Other Accounts NOT listed above (i.e., Savings Accounts, Certificates of Deposit, etc.):**

<u>Short Name for Account</u>	<u>Account # -last 4 digits</u>	<u>Financial Institution</u>	<u>Purpose of Account<sup>4</sup></u>

Under penalty of perjury and forgery, Designated Broker avows that the above listed accounts represent all accounts used in connection with the Broker's real estate related activities under the Department's jurisdiction.

The space provided is insufficient to list all brokerage accounts; therefore, I have attached a listing of all accounts, including the designation of the account purpose (i.e., Operating Account, Property Management Account, Sales/Escrow Account, Other Account), to this document.

\_\_\_\_\_  
Designated Broker Signature

\_\_\_\_\_  
Date

<sup>2</sup> State what monies are held in and disbursed from the account i.e. -client deposits/earnest money, -commissions disbursements etc.

<sup>3</sup> General purpose of the account i.e. -brokerage operating account, -payroll account. Identify any account from which payments of commissions and/or referral fees are paid.

<sup>4</sup> Briefly indicate the purpose of the account. Clearly note any accounts containing client funds. i.e. Certificate of Deposit for Security Deposits.