

100 North 15th Avenue, Suite 201 Phoenix, AZ, 85007 KATIE HOBBS GOVERNOR

602.771.7700

SUSAN NICOLSON COMMISSIONER

SALESPERSON / ASSOCIATE BROKER STATUS CHANGE FORM

Use this form for the hire, sever, or transfer of a Licensee with a provisional license or an ADRE departmental matter pending that would prevent this action from being completed through the <u>Licensee Login</u>.

In the event, a licensee is severing their license, only the licensee signature is required below.

Once complete, this application and additional required documents should be submitted through our <u>Message Center</u> or <u>Licensee Login</u>.

TYPE OF CHANGE		
🗌 Hire	Sever	Transfer (within same entity)

LICENSEE AND ENTITY INFORMATION		
Licensee Legal Name:	Licensee License Number:	
Entity Legal Name:	Entity/Branch License Number:	

SALESPERSON OR ASSOCIATE BROKER SIGNATURE

By signing, I attest that all information on this form is accurate and that, if I am severing, I have notified my Employing Broker that I will be severing from them.

Signature:

Date:

DESIGNATED BROKER ATTESTATION

I understand that it is my obligation to comply with the statutes, rules, and regulations set forth by Arizona Law, and that such laws may be referenced at <u>azleg.gov</u>.

Broker Name:	License Number:
Broker Signature:	Date:

Notice to Applicant Pursuant to A.R.S. § 41-1030

An agency shall not base a licensing decision in whole or in part on a licensing requirement or condition that is not specifically authorized by statute, rule or state tribal gaming compact. A general grant of authority in statute does not constitute a basis for imposing a licensing requirement or condition unless a rule is made pursuant to that general grant of authority that specifically authorizes the requirement or condition.

This section may be enforced in a private civil action and relief may be awarded against the State. The court may award reasonable attorney fees, damages and all fees associated with the license application to a party that prevails in an action against the state for a violation of this section.

A State employee may not intentionally or knowingly violate this section. A violation of this section is cause for disciplinary action or dismissal pursuant to the Agency's adopted personnel policy.

This section does not abrogate the immunity provided by section 12-820.01 or 12-820.02

Notice to Applicant Pursuant to <u>A.R.S. § 41-1093</u>

An agency shall limit all occupational regulations to regulations that are demonstrated to be necessary to specifically fulfill a public health, safety or welfare concern. Pursuant to sections 41-1093.02 and 41-1093.03. Arizona Revised statutes, you have the right to petition this agency to repeal or modify the occupational regulation or bring an action in a court of general jurisdiction to challenge the occupational regulation and to ensure compliance with section 41-1093.01, Arizona Revised Statutes.

Notice to Applicant Pursuant to <u>A.R.S. § 41-1093.08</u>

Pursuant to Arizona Revised Statutes, Title 41, Chapter 6, Article 11, an applicant, licensee, registrant or certificate holder may petition the Office of Administrative Hearings to request a review of a denial, suspension or revocation of a license, registration or certificate for a prior criminal offense.