

KATIE HOBBS GOVERNOR

RIZONT, ULLOS

Auditing and Investigations Division

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SUSAN NICOLSON COMMISSIONER

USE OF OFFSITE STORAGE / ELECTRONIC STORAGE / ELECTRONIC MANAGEMENT SYSTEMS

Submit completed form and attachments to the Department through the Department Message Center Click Here

1. EMPLOYING BROKER / ENTITY INFORMATION:

Designated Broker (DB) or Self-Employed Broker (SE) Name (print as appears on license):				DB or SE License Number:		
Employing Broker / Entity Name:				Employing Broker / Entity License Number:		
Employing Broker / Entity Physical Address:		Suite:	City:		State:	Zip:
Employing Broker / Entity Mailing Address: Same as Physical Address	Suite:	City:		State:	Zip:	
Employing Broker / Entity Phone: Employing Broker / Entity FA			AX DB or SE Email Address:			
2. OFFSITE STORAGE LOCATION FOR HARD COPY (PAPER) FILES (A.R.S. §§ 32-2151.01.A; 32-2175.A; 32-2175.C):						
Records are stored at Broker's Home Commercial Storage Facility Name of Commercial Storage Facility:						
Physical Address:		Suite:	City:		State:	Zip:
Name of Statutory Agent (Out-of-State Brokers Only) (A.C.C. R4-28-302.L) Name of Law Firm (if applicable):						
Physical Address:		Suite:	City:		State:	Zip:
3. ELECTRONIC RECORDS STORAGE (A.R.S. § 32-2151.B.2):						
Records are stored: Broker's Computer System With Third-Party	Name of Third Party Vendor:					
Business Address of Third Party Vendor:	Suite:	City:		State:	Zip:	
Broker Maintains a back-up of Electronic Files to allow reconstruction if electronic data is destroyed (A.R.S. § 32-2151.B.2): Yes No						
4. ELECTRONIC MANAGEMENT SYSTEM (TRANSACTION AND FINANCIAL RECORDS) (ADRE SPS No. 2010.01):						
Employing Broker Utilizes: Electronic Transaction Management System	Na	Name of Electronic Transaction Management System:				

Employing Broker Utilizes:

Suite:

Suite:

City:

City:

Name of Property Management Financial Software:

State:

State:

Yes No

Zip:

Zip:

Business Address of Electronic Transaction Management System Vendor:

Business Address of Property Management Financial Software Vendor:

Property Management Financial Software

Broker Maintains a back-up of Electronic Files to allow reconstruction if electronic data is destroyed (A.R.S. § 32-2151.B.2):